

<i>Who we are</i>	
Who is providing this notice?	Carl P. Sherr & Co., LLC (CPS)

<i>What we do</i>	
How does Carl P. Sherr & Co., LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Carl P. Sherr & Co., LLC collect my personal information?	We collect your personal information, for example, when you > Open an account or make a transaction > Complete our financial planning questionnaire
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes and information about your creditworthiness • affiliates from using your information to market to you • sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing</p>

<i>Definitions</i>	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> • CPS does not have any affiliates
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> • Nonaffiliates we may share with include third parties to assist us in servicing your account with us; and to government entities in response to subpoenas.
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> • CPS does not participate in any joint marketing.

<i>Other Important Information</i>	
<p>Our policy with respect to former clients: We follow the same privacy policies and practices for former clients as are described in this notice.</p>	



FACTS	WHAT DOES CARL P SHERR & CO., LLC DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> > Social Security number and account balance > Income and net worth
How?	All financial companies need to share client’s personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client’s personal information; the reasons Carl P. Sherr & Co chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Carl P. Sherr & Co., LLC share?	Can you limit sharing?
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For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes — to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	No
For our affiliates’ everyday business purposes — information about your transactions and experiences	No	No
For our affiliates’ everyday business purposes — information about your creditworthiness	No	No
For nonaffiliates to market to you	No	No

Questions?	Call Carl P. Sherr & Co., LLC at 800-25-SHERR or visit our website at www.carlpsherr.com
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